



Title of Report:	Annual Internal Audit Plan 2019/20 Annual Internal Audit Plan 2020/21 Progress Report
Committee Report Submitted To:	Audit Committee
Date of Meeting:	17th June 2020
For Decision or For Information	For Information

Linkage to Council Strategy (2019-23)	
Strategic Theme	Innovation and Transformation
Outcome	Improve Service Delivery
Lead Officer	Director of Corporate Services/Audit Risk and Governance Officer

Budgetary Considerations	
Cost of Proposal	
Included in Current Year Estimates	YES/NO
Capital/Revenue	
Code	
Staffing Costs	

Screening Requirements	Required for new or revised Policies, Plans, Strategies or Service Delivery Proposals. N/A		
Section 75 Screening	Screening Completed:	Yes/No	Date:
	EQIA Required and Completed:	Yes/No	Date:
Rural Needs Assessment (RNA)	Screening Completed	Yes/No	Date:
	RNA Required and Completed:	Yes/No	Date:
Data Protection Impact Assessment (DPIA)	Screening Completed:	Yes/No	Date:

1.1 Background

The purpose of this report is to provide an update in terms of progress against the Annual Internal Audit Plan 19/20, which was previously agreed and sets out the audit areas, days, timing and scope of activities for the year ended March 2020.

Progress for the first quarter of 20/21, period April 2020 - June 2020.

1.2 Internal Audit Plan 2019/20

Audit Area	Days	Auditor	Timing	Progress
Waste Services	8	In-House	January 2020	Completed
Fuel Management	7	Moore NI	January 2020	Completed
Capital Projects (including Strategic Projects)	10	Moore NI	February 2020	Final Report – September AC
Income and Debtors	8	Moore NI	February 2020	Final Report – June AC
Staff recruitment, retention, development and appraisal	10	Moore NI	March 2020	Incomplete – due to COVID 19
ICT Environment	8	In house	March 2020	Not Completed – due to COVID 19
Information Governance/Data Protection/FOI/EIR	10	In house	March 2020	Not Complete – due to COVID 19
Corporate Governance	8	Moore NI	February 2020	Incomplete – due to COVID 19

Leisure Services	8	Moore NI	February/ March 2020	Final Report – June AC
PCSP	6	In house	February 2020	Complete
Follow up audits of prior year reports	15	Moore NI & In house	March 2020	In Complete

1.3 Internal Audit Plan 2020/21

In light of Covid 19 it is planned to present a quarterly audit plan to the audit committee for consideration based on priorities within the Council and what is achievable whilst complying to health regulations. A number of auditable areas have been selected from the original 2019-23 internal audit strategy that can be completed largely through correspondence. These include:

Audit Area	Days	Auditor	Timing	Progress
Environmental Services Directorate				
Business Continuity & Emergency Planning		Moore NI	TBC	
Financial Directorate				
Use of Corporate Credit Card		Moore NI	TBC	
Treasury Management		Moore NI	TBC	
Corporate Directorate				
Corporate health & safety		Moore NI	TBC	
Community Planning		Moore NI	TBC	

1.4 Recommendation

It is recommended that the Audit Committee note the updated position.