

Title of Report:	Call for Evidence – Review of the Implementation of the Planning Act.
Committee Report Submitted To:	Planning Committee
Date of Meeting:	24 March 2021
For Decision or For Information	For Information In Decision

Linkage to Council Strategy (2015-19)	
Strategic Theme	Leader and Champion
Outcome	Establish key relationships with strategic partners to deliver our vision for this Council area
Lead Officer	Head of Planning

Budgetary Considerations	
Cost of Proposal	N/A
Included in Current Year Estimates	
Capital/Revenue	
Code	
Staffing Costs	

Screening Requirements	Required for new or revised Policies, Plans, Strategies or Service Delivery Proposals.		
Section 75 Screening	Screening Completed:	N/A	Date:
	EQIA Required and Completed:	N/A	Date:
Rural Needs Assessment (RNA)	Screening Completed	N/A	Date:
	RNA Required and Completed:	N/A	Date:
Data Protection Impact Assessment (DPIA)	Screening Completed:	N/A	Date:
	DPIA Required and Completed:	N/A	Date:

FOR DECISION

1.0 Background

- 1.1** On 15 February 2021, the Department for Infrastructure commenced a review of the implementation of the Planning Act (Northern Ireland) 2011 as required by Section 228 of the Act. The DfI wrote to Council on 16 February 2021 inviting us to submit our views in response to the Call for Evidence by 4pm on 15 March 2021.
- 1.2** On 19 February 2021 the Head of Planning wrote to DfI requesting an extension to the timeframe for submission of views until 09 April 2021. This extension was agreed by DfI on 02 March 2021
- 1.3** On 08 March 2021, DfI wrote to Council advising that the timeframe for submission of views has further extended to 16 April 2021.

2.0 Details

2.1 The Northern Ireland planning system was fundamentally reformed in 2015 with the transfer of most major and local planning decisions, enforcement and plan making to local government. This also involved commencement of significant new primary legislation in the form of the Planning (NI) Act 2011, together with a raft of other supporting subordinate legislation to implement the reform.

2.2 The key aims of the reform were to:

- deliver Northern Ireland Executive decisions to transfer the majority of planning functions to the newly formed councils thus creating a two tier planning system; and
- bring forward short, medium and long term process improvements to modernise the system.

2.3 The main objectives for reforming and transferring planning were:-

- the continued formulation and co-ordination of planning policy by the Department;
- councils preparing local development plans;
- councils determining the majority of planning applications for development; and
- councils taking appropriate enforcement action where a breach of planning control may have taken place.
- further sustainable development;
- enhance community involvement in the planning process;
- make more timely decisions in ways which are transparent and demonstrably fair;
- Allow higher fines for planning offences; and
- Reform the planning appeals system

2.4 Section 228 of the Act requires the Department to review and publish a report on the implementation of the Act no later than 3 years after the commencement of Part 3 of the Act and at least once every 5 years thereafter. As required by Section 228(2) the Department made regulations on 12 October 2020 setting out the terms of the

review. The Planning Act 2011 (Review) Regulations (Northern Ireland) 2020 came into operation on 02 November 2020 and specified that the Review must:

- Consider the objectives intended to be achieved by the Planning Act;
- Assess the extent to which those objectives have been achieved; and
- Assess whether it is appropriate to retain, amend or repeal any of the provisions of the Planning Act or subordinate legislation made under the 2011 Act, in order to achieve those objectives.

- 2.5** The purpose and scope of the review is to provide a level of assurance that the legislative framework for the delivery of a reformed planning system has been implemented and in a timely fashion. The focus of the review is on the implementation of the Act and the extent to which the original objectives of the Act have been achieved. This will inform whether there is a need to retain, amend or repeal any provisions of the Act.
- 2.6** The review will also provide an opportunity to consider any improvements which may be required to the way in which the Act has been commenced and implemented in subordinate legislation. Issues that have surfaced as a result of the Coronavirus pandemic will likely be considered as part of the review.
- 2.7** The Minister is keen to look at how the provisions of the Act are working in practice and whether there are any changes that could be implemented to further improve the system for all stakeholders.
- 2.8** The Department is keen to hear views as set out in the key questions detailed in the attached Call for Evidence paper.
- 2.9** Any recommendations emerging from the review which might involve legislative changes will follow normal policy development process including public consultation on any frat proposals and Assembly scrutiny where appropriate.
- 3.0 Recommendation:**
- 3.1 IT IS RECOMMENDED** that the Committee provides comments to Head of Planning by 09 April 2021 and the Head of Planning responds to the Call for Evidence to DfI by 16 April 2021.

Regional Planning Directorate



Department for

Infrastructure

An Roinn

Bonneagair

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Your reference: CoE0221

Our reference:

02 March 2021

Dear Denise

Call for Evidence – Review of the Implementation of the Planning Act 2011

Thank you for your correspondence of 19 February 2021 in relation to the above.

I can confirm that the Department is content to accept a response from the Council by Friday 09 April 2021.

While appreciative of your comments with regards to the time period set for the return of responses, particularly around the need for ratification by the Council, I would reiterate that as this engagement exercise is intended to gather additional information to help inform consideration of the review, it need not follow the usual extended period associated with full public consultation exercises associated with emerging policy / legislation. Nevertheless, any proposals/recommendations to amend primary or subordinate planning legislation which may emerge from the final review report would be subject to full public consultation protocols.

I trust this is to your satisfaction and look forward to receiving the Council's response.

Yours sincerely

ANGUS KERR
Chief Planner
& Director of Regional Planning

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Call for Evidence

Review of the Implementation of The Planning Act (NI) 2011

Issued: 15 February 2021

Respond by: 15 March 2021

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Introduction

The Department for Infrastructure is currently undertaking a review of the implementation of the Planning Act (NI) 2011 (the Act) as required by section 228 of the Act. This Call for Evidence will help inform the consideration of this review.

How to Respond

You are invited to submit your views in response to this Call for Evidence **by 4.00pm on 15 March 2021**. Comments after this deadline will not be accepted.

Please respond using the Response Form attached to this document.

Responses should be emailed to the Department at the following address:

Legislation.Planning@infrastructure-ni.gov.uk

Please note that your response may be made public by the Department. For example, information people provide in response to this targeted engagement exercise, including personal information, may be subject to publication or disclosure in accordance with the Freedom of Information Act 2000 (FOIA) and the Data Protection Act 1998 (DPA). If you want the information that you provide to be treated as confidential please tell us, but be aware that we cannot guarantee confidentiality.

Background

1. In Northern Ireland, the planning system was fundamentally reformed in 2015 with the transfer of responsibility for most local planning decisions and plan making going to councils as well as significant reforms to the development management and plan making processes. The reforms represented the most fundamental change to the planning system in Northern Ireland in over 30 years.
2. This also involved commencement of significant new primary legislation in the form of the Planning (NI) Act 2011, together with a raft of other supporting subordinate legislation, which all came into effect on 1st April 2015. This new legislation paved the way for implementing the reforms.
3. The key aims of the reform of the planning system were to:
 - deliver Northern Ireland Executive decisions to transfer the majority of planning functions to the newly formed councils thus creating a two tier planning system; and
 - bring forward short, medium and long term process improvements to modernise the system.
4. The main objectives for reforming and transferring planning were:-
 - the continued formulation and co-ordination of planning policy by the Department;
 - councils preparing local development plans;
 - councils determining the majority of planning applications for development; and
 - councils taking appropriate enforcement action where a breach of planning control may have taken place.
 - further sustainable development;
 - enhance community involvement in the planning process;
 - make more timely decisions in ways which are transparent and demonstrably fair;
 - allow higher fines for planning offences; and
 - reform the planning appeals system.
5. In addition, in May 2016 the Departments Act (Northern Ireland) 2016, reduced the number of government departments from 12 to 9. This was the culmination

of discussion going back a number of years on the shape and size of the Northern Ireland Executive.

6. As part of this restructuring, the majority of departmental planning functions of the former Department of the Environment were transferred to the Department for Infrastructure¹, while the functions of the Planning Appeals Commission under Part 9 of the 2011 Act were transferred from the Office of the First and Deputy First Ministers to the Department of Justice. In addition a number of historical built environment functions of the 2011 Act were transferred to the Department for Communities. These included:
 - the power to list buildings under sections 80 to 84;
 - the reserve power to designate conservation areas under section 104; and
 - the reserve listed building enforcement powers under sections 158 to 161.

While these functions are the responsibility of the respective Departments, DFI will engage with both Departments as parts of the overall review of the implementation of the 2011 Planning Act.

Why undertake a review?

7. Section 228 of the Act requires the Department to review and publish a report on the implementation of the Act no later than 3 years after the commencement of Part 3 of the Act (Planning Control), and at least once in every 5 years thereafter. The Department is required by section 228(2) to make regulations setting out the terms of the review. The regulations were made on 12th October 2020 and came into operation on 2nd November.
8. The delay in meeting the initial timeframe set out in the Act for making the regulations and publication of the associated report, stems from decisions (not to proceed) made under the NI (Executive Formation & Exercise of Function etc.) Act 2018. These decisions determined that in the absence of a Minister or functioning Assembly, it would not be appropriate to make the regulations, and to publish the subsequent report on the implementation of the Planning Act.

¹ [The Departments \(Transfer of Functions\) Order \(Northern Ireland\) 2016](#)

9. The Planning Act 2011 (Review) Regulations (Northern Ireland) 2020² specify that the Review must:-

- consider the objectives intended to be achieved by the Planning Act;
- assess the extent to which those objectives have been achieved; and
- assess whether it is appropriate to retain, amend or repeal any of the provisions of the Planning Act or subordinate legislation made under the 2011 Act, in order to achieve those objectives.

The Planning Act (NI) 2011

10. The Act consists of 15 Parts, 255 sections and seven schedules³.

Amendments to timeframes for taking enforcement action and also a number of increased penalties were introduced from 1 December 2011, however the majority of provisions came into operation on 1 April 2015. The provisions of the Act which have been implemented are:

- Part 1 Functions of the Department for Infrastructure with respect to the development of land
- Part 2 Local development plans
- Part 3 Planning control
- Part 4 Additional planning control (except Chapter 4)
- Part 5 Enforcement
- Part 6 Compensation
- Part 7 Purchase of estates in certain land affected by planning decisions
- Part 8 Further provisions as to historic buildings
- Part 9 The planning appeals commission
- Part 10 Assessment of council's performance or decision making
- Part 11 Application of Act to crown land
- Part 13 Financial provisions
- Part 14 Miscellaneous and general provisions
- Part 15 Supplementary
- Schedule 1 Simplified planning zones
- Schedule 4 Amendments to the Land Development Values (Compensation Act (Northern Ireland
- Schedule 5 The historic buildings council
- Schedule 6 Minor and consequential amendments
- Schedule 7 Repeals

11. A small number of the provisions of the 2011 Act have not been commenced to date:

- Part 4 – Chapter 4 Review of Mineral Planning Permissions

² <https://www.legislation.gov.uk/nisr/2020/218/made>

³ <https://www.legislation.gov.uk/nia/2011/25/contents>

- Part 12 – Correction of Errors
- Schedule 2 – Review of old mineral planning permission
- Schedule 3 – Periodic review of mineral planning permissions.

Subordinate Legislation

12. The Department has made around 40 statutory rules and six directions under the Planning Act to facilitate the transfer of planning powers and the introduction of the two-tier planning system. This subordinate legislation may be viewed on the Department's website⁴. This raft of subordinate legislation underpins the Planning Act and sets out the detailed statutory requirements for key processes such as the submission of planning applications, and the preparation of local development plans. These ensure certain statutory functions are carried out and provide conformity throughout the planning system.

⁴ <https://www.infrastructure-ni.gov.uk/articles/current-planning-legislation>

Purpose and scope

13. The requirement to review and publish a report on the implementation of the Act is to ensure the Department monitors and reports on the coming into operation of the provisions within the Act, to provide a level of assurance that the legislative framework for the delivery of a reformed two-tier planning system has been implemented and in a timely fashion.

14. The focus of the review is, therefore, on the implementation of the legislative provisions of the Act itself and the extent to which the original objectives of the Act have been achieved. This will then inform whether there is a need to **retain, amend or repeal** any provisions of the Act. The review will also provide an opportunity to consider any improvements or 'fixes' which may be required to the way in which the Planning Act has been commenced and implemented in subordinate legislation. It is likely that issues with the planning system that have surfaced as a result of the Coronavirus pandemic will be considered as part of this review.

15. It is important, however, to highlight that the review is not envisaged as a fundamental root and branch review of the overall 2 tier planning system or the principles behind the provisions. It is still relatively early days in the delivery of the new system, compared with other jurisdictions, and there is no evidence to suggest this is the time for another fundamental re-examination of the system here, which would take considerable time. However, the Minister is keen to look at how the provisions of the Act are working in practice and whether there are any changes that could be implemented to further improve the system for all stakeholders – including councils, developers, and the wider public, not just in planning decisions, but also in the delivery of new local development plans which will provide certainty for the longer term. This may not always require legislative change.

COVID-19

16. The COVID-19 crisis has had an impact on everyone, and every sector across NI, and the planning system is no exception. To address this, the Department has and continues to issue guidance to councils and the public by way of Chief Planner's Updates providing information and advice on the ongoing operation of the planning system through this time, including support for practical measures to keep delivering local planning services whilst observing the latest health advice.
17. The Department has introduced emergency legislation and associated practice guidance which temporarily suspends the requirement for a Pre-application Community Consultation public event for major planning applications.
18. The Department has also worked with the Department for Communities in bringing forward Regulations enabling councils to put in place arrangements for holding Planning Committee meetings remotely and allowing council business, including taking planning decisions, to proceed during the COVID-19 emergency.
19. While we all continue to work through the current emergency period this review could provide an opportunity to examine how and in what ways the Department can future-proof / shield the planning system from potential future adverse impacts arising from similar events.

Key Questions

20. Whilst the Department welcomes comments on any aspect of the Act, (together with other information and evidence that may assist in the forthcoming review) it is particularly keen to hear views on the provisions for Local Development Plans (Part 2), Planning Control (Part 3), Additional Planning Control (Part 4) and Enforcement (Part 5), as set out in the following key questions:

Part 2 – Local Development Plans

Q.1. Do you believe there is a need to retain, amend or repeal any provisions of Part 2 of the Act or associated subordinate legislation with regard to the delivery of Local Development Plans?

Q.2 Do you believe there are any improvements which may be made to the way in which local development plans are implemented?

Parts 3 & 4 – Planning Control and Additional Planning Control

Q.3 Do you believe there is a need to retain, amend or repeal any provisions of Part 3 or Part 4 of the Act or associated subordinate legislation with regard to the Planning and Additional Planning Control?

Q.4. Do you believe there are any improvements which may be made to the way in which planning control is implemented?

Part 5 - Enforcement

Q.5 Do you believe there is a need to retain, amend or repeal any provisions of Part 5 of the Act or associated subordinate legislation with regard to the Enforcement?

Q.6. Do you believe there are any improvements which may be made to the way in which planning enforcement is implemented?

COVID-19 Recovery

Q.7 Do you believe there are any changes to planning procedures in general which could safeguard the system against potential future adverse impacts associated with emergency situations, such as that currently being experienced as a result of COVID-19 pandemic?

Other Parts of the 2011 Planning Act.

Q.8 Do you believe there is a need to retain, amend or repeal any provisions of other parts of the 2011 Planning Act, or associated subordinate legislation?

Please provide information or evidence to support your answers.

Next steps

21. Responses to this Call for Evidence should be made to the Department by 4.00pm, Monday 15 March 2021.
22. The information gathered as a result of this Call for Evidence will be considered by the Department and will help inform the first review.
23. Any recommendations emerging from the first review which might involve legislative changes will follow the normal policy development process including public consultation on any draft proposals and Assembly scrutiny where appropriate.

ANNEX

CALL FOR EVIDENCE REVIEW OF THE IMPLEMENTATION OF THE PLANNING ACT (NI) 2011.

RESPONSE FORM

YOUR DETAILS

Title:	
First Name:	
Surname:	
Date:	
Postal Address:	
Postcode:	
Organisation:	
Email:	

YOUR COMMENTS

Please provide us with your comments below. Please be as concise as possible and where appropriate provide evidence to support your comment.

Local Development Plans

Q.1. Do you believe there is a need to retain, amend or repeal any provisions of Part 2 of the Act or associated subordinate legislation with regard to the delivery of Local Development Plans?

Detail relevant provisions:

Supporting Comments:

Q.2 Do you believe there are any improvements which may be made to the way in which local development plans are implemented?

Supporting Comments:

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Planning Control and Additional Planning Control

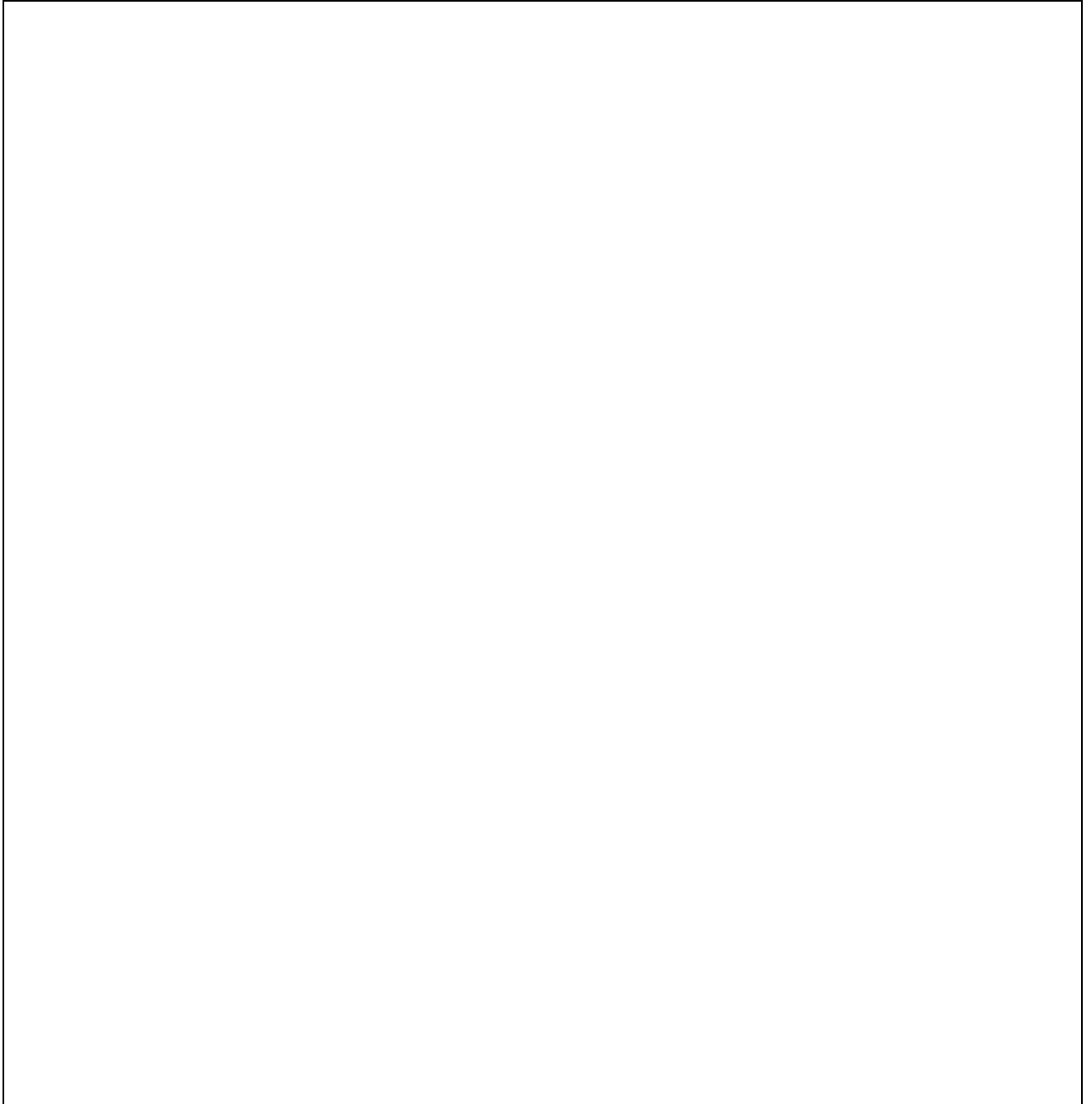
Q.3 Do you believe there is a need to retain, amend or repeal any provisions of Part 3 or Part 4 of the Act or associated subordinate legislation with regard to the Planning and Additional Planning Control?

Detail relevant provisions:

Supporting Comments:

Q.4. Do you believe there are any improvements which may be made to the way in which planning control is implemented?

Supporting Comments:

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Enforcement

Q.5 Do you believe there is a need to retain, amend or repeal any provisions of Part 5 of the Act or associated subordinate legislation with regard to the Enforcement?

Detail relevant provisions:

Supporting Comments:

Q.6. Do you believe there are any improvements which may be made to the way in which planning enforcement is implemented?

Supporting Comments:

COVID-19 Recovery

Q.7 Do you believe there are any changes to planning procedures in general which could safeguard the system against potential future adverse impacts associated with emergency situations, such as that currently being experienced as a result of COVID-19 pandemic?

Detail relevant procedures:

Supporting Comments:

Other Parts of the 2011 Planning Act

Q.8 Do you believe there is a need to retain, amend or repeal any provisions of other parts of the 2011 Planning Act, or associated subordinate legislation?

Detail relevant provisions:

Supporting Comments: